



**UCTC**

ULSTER COUNTY TRANSPORTATION COUNCIL

**FFY 2022 ANNUAL FEDERAL AID  
OBLIGATION REPORT**

**Reporting Period  
October 1, 2021 to September 30, 2022**

**January 2023**

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## **ULSTER COUNTY TRANSPORTATION COUNCIL**

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## BACKGROUND

UCTC is responsible for the development of a Metropolitan Transportation Plan (MTP) and a Transportation Improvement Program (TIP) that encourages and promotes the safe and efficient development, management, and operation of surface transportation systems to serve the mobility needs of people and freight in the county and the region. In addition, UCTC is responsible for the development of a Unified Planning Work Program (UPWP) that includes a discussion of the planning priorities facing the Metropolitan Planning Area. The intent of such a work plan is to coordinate all transportation-related planning activities in Ulster County.

The U.S. Department of Transportation requires every metropolitan area with a population over 50,000 to have a designated MPO in order to qualify for the receipt of Federal highway and public transportation funds. In 2003, the Governor of New York State designated the Ulster County Transportation Council (UCTC) as the MPO responsible for transportation planning in Ulster County. As the designated MPO, the UCTC is responsible for making final decisions for programming transportation improvements in the Kingston Urbanized Area and a portion of the Poughkeepsie-Newburgh Transportation Management Area (TMA).

Federal regulations require a listing of all federally funded projects on the TIP, for which federal transportation funds have been obligated in the preceding year. An obligation is the U.S. Department of Transportation's legal commitment to pay the federal share of a project's cost. Projects for which funds have been obligated are not necessarily initiated or completed in a given program year and the amount of the obligation in a single year will not necessarily equal the total cost of the project. This annual listing of federal aid obligations must be made available for public review by the Metropolitan Planning Organization (MPO). The listing must be consistent with the funding categories identified in the adopted Transportation Improvement Program (TIP).

## TRANSPORTATION IMPROVEMENT PROGRAM

The TIP is a five-year financial plan that identifies the project costs, funding sources, phase types and implementation schedule of federally funded transportation improvements. TIP projects must be consistent with the overall goals and objectives identified in the Long Range Transportation Plan. The TIP can be viewed online at:

<https://ulstercountyny.gov/transportation-council/transportation-improvement-plan>.

The TIP typically receives a number of amendments and revisions throughout its 2-3 year lifecycle.

## LONG RANGE TRANSPORTATION PLAN

A Federal Aid Obligation Report together with the Metropolitan Transportation Plan (MTP) helps to evaluate an MPO's overall performance. Financial forecasts from the MTP help establish a baseline for which federal aid obligations can be compared and help measure an MPO's ability to implement projects. The UCTC Year 2045 MTP can be viewed online at: <https://ulstercountyny.gov/transportation-council/long-range-transportation-plan>.

A continuation of underperforming Federal Aid Obligation Reports may indicate one or more of the following: 1) financial forecasts improperly calculated; 2) a down turn in the economy resulting in less federal aid available for programming; 3) the complexity of the federal aid process; 4) insufficient local funding to match the federal aid projects programmed; and 5) changing priorities and project schedules.

## FEDERAL AID OBLIGATIONS

To ensure that the public has an accurate account of how federal funds are actually being spent on transportation projects, Congress, in the Fixing America's Surface Transportation (FAST) Act, included a requirement that the organizations responsible for approving the Transportation Improvement Program publish an annual listing of project obligations.

Obligation in the context of this report is the Federal Government's legal commitment to pay the federal share of a project's cost. An obligated project is one that has been authorized by the federal agency as meeting eligibility requirements for federal funds. Projects for which funds have been obligated are not necessarily initiated or completed in the program year. For obligations on a construction project, typically the project needs to complete a competitive bid process and begin construction if an acceptable bid is received. Alternatively, projects may have obtained permission to proceed to construction using local funds, with the ability to be obligated and reimbursed with federal funds at a later date. Some of the projects or project phases listed in this report may already have been completed.

The amount of the obligation usually does not equal the total cost of the project. This is because an obligation is typically for only one phase of a multi-phased project. The obligation only accounts for federal funds allocated to the project. The figures exclude any matching state and local funds that are likely necessary as well.

Once funds have been obligated, the project sponsor/lead agency begins incurring eligible project expenses and then requests reimbursement from the eligible grant recipient. The reimbursement request must demonstrate that the recipient incurred the costs consistent with the project agreement and all federal requirements.

The list of obligated projects is organized in a manner consistent with the Transportation Improvement Program (TIP). For each project identified, the list includes the following:

- ▶ **PIN:** a Project Identification Number (PIN) that NYSDOT uses to track projects;
- ▶ **Project Sponsor:** the lead agency in charge of carrying out the project;
- ▶ **Project Title:** a narrative description used to define the nature and location of the project;
- ▶ **Phase:** the phases for which funding was actually obligated during the federal fiscal year;
- ▶ **Federal Fund Source:** the federal program from which funding was derived;
- ▶ **Amount:** the amount funding found to be obligated on the project during FFY2022;

For transit projects, the list includes the following:

- ▶ **PIN:** a Project Identification Number (PIN) that NYSDOT uses to track projects;
- ▶ **TRAMS Grant Number:** FTA Transit Awards Management System grant number
- ▶ **Project Title:** a narrative description used to define the nature and location of the project
- ▶ **Project Created Date:** date that the grant was originally entered
- ▶ **Last Modified:** date that the grant was last modified by the sponsor
- ▶ **Fund Source:** the FTA program from which funding was derived;
- ▶ **Amount:** the amount funding found to be obligated on the project during FFY2022;

## Project Phases

Phases of TIP projects are categorized in the following manner:

- ▶ **Scoping:** This phase includes meetings with project developers and designers, local government representatives, and other involved parties. Decisions are made regarding specific elements that will be included in the project and the range of design alternatives that will be investigated.
- ▶ **Preliminary Design/Engineering (PD):** This phase includes basic engineering work on each alternative, traffic studies, environmental analyses, and other work specific to the project. Public outreach in accordance with state and federal requirements is used to gain community input on the project. A preferred alternative is selected to advance the project.
- ▶ **Detailed Design (DD):** This phase includes detailed engineering work on the preferred alternative. This phase results in the development of plans and specifications that a construction contractor will work from.
  - ▶ (PE: covers all phases for design – Scoping, Preliminary Design & Detail Design)
- ▶ **Right-of-Way Incidentals (RI):** This phase includes preparation work required prior to right-of-way acquisition.
- ▶ **Right-of-Way Acquisition (RA):** This phase includes the acquisition of right-of-way (property) necessary to complete the project. Acquisition can be achieved through the purchase of property or an easement.
  - ▶ (ROW: covers both RI and RA phases)

- ▶ **Construction (CONST):** This phase includes all work necessary to build the project.
- ▶ **Construction Inspection (CI):** This phase includes ongoing inspection to ensure construction is performed properly and conforms to specifications.
- ▶ **Miscellaneous/Other (MISC):** This phase is usually associated with public transportation projects. It involves the purchase and acquisition of vehicles and associated preventive maintenance, though in some cases, such as for station, parking, and maintenance/storage facilities, the construction of fixed or permanent facilities is undertaken. This phase type also includes funding for agency staff and/or contractors to perform work that supports the transportation system (e.g., bridge inspection, traffic center operations, etc.).

## FEDERAL FISCAL YEAR 2022 FEDERAL AID OBLIGATIONS

As shown in the tables on the following pages, a total of **\$31.378 million** in Federal Highway Administration and Federal Transit Administration aid was obligated for various local and state highway, bridge, trail and transit project phases in Ulster County in FFY 2022. Of that, **\$17.482 million** in federal aid was obligated for state projects in 2022. A total of **\$9.776 million** in federal aid was obligated for local highway, bridge and enhancement projects in 2022 across a variety of federal fund sources. Finally, a total of **\$4.120 million** in federal aid was obligated for transit projects in Ulster County. Recipients of transit funds includes only the public operator Ulster County Area Transit (UCAT).

UCTC works closely with NYSDOT to ensure that all Federal funding for transportation projects is obligated as scheduled. “Unobligated” federal funding does not carry over into the next FFY, rather, it expires at the end FFY if unclaimed by the project sponsor. Unobligated federal funds represent an opportunity cost for other Ulster County municipalities that may be waiting to receive federal aid for a project. In this sense, projects programmed but not acted upon hold up federal funding that could otherwise be utilized by other project sponsors waiting for federal aid, underscoring the importance of ensuring an annual obligation rate of 100%. UCTC staff will continue to work closely with NYSDOT and local project sponsors to stay on top of project priorities and help sponsors move their projects to implementation. Staff will also continue to assist project sponsors with the identification of unused federal aid and process TIP amendments to modify transportation priorities to help utilize federal aid obligation authority before it expires.

**Ulster County Transportation Council**  
**FFY 2022 Federal Obligations**  
**NYS DOT Projects**

<b>PIN</b>	<b>Project Sponsor</b>	<b>Project Title</b>	<b>Phase</b>	<b>Federal Fund Source</b>	<b>Authorized Amount</b>
801846	NYS DOT	ROUTE 28/ESOPUS CREEK-BRIDGE REPLACEMENT - BIN 1091290	CONSTRUCTION	NHPP	\$ 6,460
814168	NYS DOT	ROUTE 44/55 AT BRUYNSWICK ROAD (CR 7) INTERSECTION SIGNALIZATION, TOWN OF GARDINER	DETAILED DESIGN	HWY SAFETY IMPR PGM	\$ 90,000
			RIGHT OF WAY ACQUISITION	HWY SAFETY IMPR PGM	\$ 7,200
			RIGHT OF WAY INCIDENTALS	HWY SAFETY IMPR PGM	\$ 6,300
817751	NYS DOT	PAVING: ROUTES 209, 199 AND 32 - TOWN OF ULSTER	CONSTRUCTION	NHPP	\$ (610,769)
			CONSTRUCTION INSPECTION	NHPP	\$ 327,520
823954	NYS DOT	PMI PAVING ROUTE 9W: ORANGE/ULSTER LINE TO CHAPEL HILL RD	CONSTRUCTION	NHPP	\$ (698,871)
880862	NYS DOT	WURTS STREET/DOCK STREET	DETAILED DESIGN	STBG FLEX	\$ 412,499
881282	NYS DOT	BRIDGE REHABILITATION TOWN OF ULSTER	CONSTRUCTION	NHPP	\$ 10,866,652
				RAIL GRADE CROSSING SETASIDE	\$ (35,478)
881291	NYS DOT	BRIDGE PAINTING	CONSTRUCTION	NHPP	\$ (12,882)
881451	NYS DOT	CULVERT REHAB/REPLACEMENTS ORANGE AND ULSTER COUNTIES	CONSTRUCTION	NHPP	\$ 3,631,039
			CONSTRUCTION INSPECTION	NHPP	\$ 611,080
				STBG FLEX	\$ 122,120
			DETAILED DESIGN	NHPP	\$ 680,002
			RIGHT OF WAY ACQUISITION	NHPP	\$ 151,953
RIGHT OF WAY INCIDENTALS	NHPP	\$ (45,458)			
881517	NYS DOT	ADA SIDEWALKS & RAMPS WITH ROW: REGIONWIDE, EMPHASIS ON ULSTER COUNTY	PRELIMINARY DESIGN	STBG FLEX	\$ 80,000
882416	NYS DOT	ULSTER COUNTY-POUGHKEEPSIE TRAIN STATION BUS SERVICE	MISCELLANEOUS	STBG FLEX	\$ 288,000
893294	NYS DOT/CMRR	ROUTE 209/CATSKILL MOUNTAIN RAILROAD CROSSING SURFACE REPLACEMENT	CONSTRUCTION RAILROAD FORCE ACCOUNT	RAIL GRADE CROSSING SETASIDE	\$ 201,495

**\$ 17,482,320.00**



Ulster County Transportation Council  
 FFY 2022 Federal Obligations  
Local Projects

PIN	Project Sponsor	Project Title	Phase	Federal Fund Source	Authorized Amount
876268	ULSTER COUNTY DPW	ULSTER CO CR 54 OVER BEAVER KILL BRIDGE REPLACEMENT	DETAILED DESIGN	STBG OFF SYSTEM BRIDGE	\$ 237,500
			PRELIMINARY DESIGN	STBG OFF SYSTEM BRIDGE	\$ 237,500
			RIGHT OF WAY INCIDENTALS	STBG OFF SYSTEM BRIDGE	\$ 4,750
878049	CITY OF KINGSTON	KINGSTON CONNECTIVITY PROJECT	RIGHT OF WAY ACQUISITION	STP ENHANCEMENT	\$ 999
875781	TOWN OF LLOYD	TILLSON AVE: ROUTE 9W TO ROUTE 44/55	CONSTRUCTION	STBG FLEX	\$ 2,299,200
				STBG LG URBAN	\$ 2,644,800
			CONSTRUCTION INSPECTION	STBG FLEX	\$ 143,200
				STBG LG URBAN	\$ 355,200
		DETAILED DESIGN	STBG FLEX	\$ 60,000	
875804	ULSTER COUNTY PLANNING	KINGSTON RAIL TRAIL	CONSTRUCTION	STBG SMALL URBAN	\$ 2,035,200
			CONSTRUCTION INSPECTION	STBG SMALL URBAN	\$ 269,600
			DETAILED DESIGN	STBG FLEX	\$ 56,000
			RIGHT OF WAY ACQUISITION	STBG FLEX	\$ 4,800
875927	VILLAGE OF ELLENVILLE	CLINTON AVENUE/NORTH GULLY BRIDGE REHAB	RIGHT OF WAY ACQUISITION	STBG OFF SYSTEM BRIDGE	\$ 24,836
876184	CITY OF KINGSTON	HENRY STREET PEDESTRIAN IMPROVEMENTS:BROADWAY-WALL ST	DETAILED DESIGN	TAP SMALL URBAN	\$ (36,000)
876202	CITY OF KINGSTON	WILBUR AVENUE PAVING CITY OF KINGSTON	CONSTRUCTION	STBG SMALL URBAN	\$ 931,000
			CONSTRUCTION INSPECTION	STBG SMALL URBAN	\$ 93,000
			PRELIMINARY DESIGN	STBG FLEX	0
876254	CITY OF KINGSTON	KINGSTON: FLATBUSH & FOXHALL AVE ACCESSIBILITY IMPROVEMENTS	PRELIMINARY DESIGN	RAIL GRADE CROSSING SETASIDE	\$ 2,500
876264	TOWN OF ROCHESTER	ULSTER CO BOICE MILL RD OVER FALLS MILL BROOK BRIDGE REPLACEMENT	DETAILED DESIGN	STBG OFF SYSTEM BRIDGE	\$ 177,230
			PRELIMINARY DESIGN	STBG OFF SYSTEM BRIDGE	\$ 177,230
			RIGHT OF WAY INCIDENTALS	STBG OFF SYSTEM BRIDGE	\$ 21,268

**\$ 9,775,813.00**





**Ulster County Transportation Council  
FFY 2022 Federal Obligations**

**Transit Projects**

*(All projects listed are sponsored by Ulster County Area Transit)*

PIN	TRAMS GRANT NUMBER	PROJECT TITLE	PROJECT CREATED DATE	LAST MODIFIED	FUND SOURCE	AMOUNT
8TRU50	NY-2022-005-01-00	UCAT Operating Expenses for FFY2021 and FFY2022	9-Nov-21	3-May-22	5307	\$ 1,310,895
8TRU82	NY-2022-071-03-00	Purchase of 2 35' AND 8 SUPPORT Electric Support Vehicles for Fleet Replacements and Expansion	30-Aug-22	31-Aug-22	5339	\$ 89,375
8TRU82	NY-2022-071-02-00	Purchase of Electric Support Vehicles for Fleet Replacements and Expansion	30-Aug-22	31-Aug-22	5339	\$ 251,275
8TRU82	NY-2022-071-01-00	Purchase of Electric Support Vehicles and Electric Buses (BEBs) for Fleet Replacements and Expansion	14-Jul-22	31-Aug-22	5339	\$ 411,753
8TRU14; 8TRU50; 8TRU76	NY-2022-073-01-00	Ulster County Area Transit Preventive Maintenance, Project Administration and Operating	15-Jul-22	5-Aug-22	5307	\$ 1,017,213
8TRU14; 8TRU50; 8TRU76	NY-2022-074-01-00	Ulster County Area Transit Preventive Maintenance, Project Administration and Operating Assistance	15-Jul-22	23-Aug-22	5307	\$ 1,039,714

**\$ 4,120,225**